



PATENTS
CF/040

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT APPLICATION

Applicants : Andrew C. Gilbert et al.
Application No. : 09/981,565
Confirmation No. : 7706
Filed : October 17, 2001
For : SYSTEMS AND METHODS FOR BID/OFFER
SPREAD TRADING
Group Art Unit : 2164

New York, New York 10020
June 20, 2002

Box MISSING PARTS
Hon. Commissioner for Patents
P.O. Box 2327
Arlington, Virginia 22202

REPLY TO NOTICE TO FILE MISSING
PARTS OF NONPROVISIONAL APPLICATION

Sir:

In reply to the Notice To File Missing Parts of
Nonprovisional Application mailed November 20, 2001,
applicants hereby submit the following:

1. A copy of the November 20, 2001 Notice To File
Missing Parts Of Nonprovisional Application;
2. One partially executed Declaration And Power Of
Attorney;
3. A Petition For Filing When An Inventor's Legal
Representative Refuses To Sign Pursuant To 37 C.F.R. § 1.47
and a file copy of a January 15, 2002 letter to the legal
representative;

4. A Last Known Address Of Nonsigning Legal Representative Of Deceased Inventor Pursuant to 37 C.F.R. § 1.47;

5. A check in the amount of \$ 130.00 in payment of the fee set forth in 37 C.F.R. § 1.17(h);

6. A check in the amount of \$ 130.00 in payment of the surcharge set forth in 37 C.F.R. § 1.16(e);

7. A Petition For Extension Of Time Pursuant To 37 C.F.R. § 1.136(a); and

8. A check in the amount of \$ 1,960.00 in payment of the 5-month extension fee set forth in 37 C.F.R. § 1.17(a)(5).

Please charge any underpayment, or credit any overpayment, in connection with this Reply to Deposit Account No. 06-1075. A duplicate copy of this Reply is enclosed.

Respectfully submitted,

Evelyn C. Mak

Evelyn C. Mak
Registration No. 50,492
Agent for Applicants

FISH & NEAVE
Customer No. 1473
1251 Avenue of the Americas
New York, New York 10020-1105
(212) 596-9000



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EF230793454US

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT APPLICATION

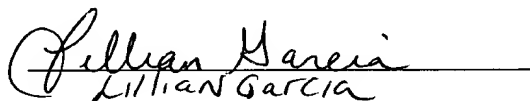
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EXPRESS MAIL CERTIFICATION

EXPRESS MAIL LABEL NO. EF230793454US

Date of Deposit: June 20, 2002.

I hereby certify that this paper/fee is being deposited with the United States Postal Service "EXPRESS MAIL POST OFFICE TO ADDRESSEE" service under 37 C.F.R. 1.10 on the date indicated above and is addressed to the Hon. Commissioner for Patents, P.O. Box 2327, Arlington, VA 22202.


Lillian Garcia

Enclosures:

REPLY TO NOTICE TO FILE MISSING PARTS
COPY OF NOVEMBER 20, 2001 NOTICE TO FILE MISSING PARTS
PARTIALLY EXECUTED DECLARATION AND POWER OF ATTORNEY
PETITION FOR FILING WHEN AN INVENTOR'S LEGAL
REPRESENTATIVE REFUSES TO SIGN
COPY OF LETTER TO LEGAL REPRESENTATIVE
LAST KNOWN ADDRESS OF NONSIGNING LEGAL REPRESENTATIVE
PETITION FOR EXTENSION OF TIME
CHECKS IN THE AMOUNT OF \$ 130.00, \$ 130.00, AND
\$ 1,960.00
RETURN POSTCARD